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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

U.S. PATENT APPLICATION SERIAL NO. .... 10/688,365  
FILING DATE ..... 17 October 2003  
INVENTOR ..... Andrew Thomas Forsberg  
ASSIGNEE ..... St. Jude Medical Puerto Rico B.V.  
GROUP ART UNIT ..... 3764  
EXAMINER ..... Unknown  
ATTORNEY'S DOCKET NO. .... 47563.0013  
TITLE ..... "Automatic Suture Locking Device"

**TRANSMITTAL LETTER AND CERTIFICATE OF MAILING**

To: Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

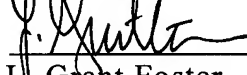
From: L. Grant Foster  
HOLLAND & HART LLP  
555 - 17<sup>th</sup> Street, Suite 3200  
P.O. Box 8749  
Denver, Colorado 80201  
Telephone: (801) 595-7830  
Facsimile: (801) 364-9124

Enclosed are the items listed below submitted regarding the matter identified above:

1. Transmittal Letter with Certificate of Mailing included
2. PTO Return Postcard Receipt
3. Power of Attorney
4. Submission Under 37 CFR § 3.73(B)

**Deposit Account Authorization** - The Commissioner is hereby authorized to charge payment of any applicable fees to Deposit Account No. 08-2623.

Date: 15 JUNE 2004

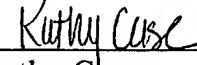
By:   
L. Grant Foster  
Reg. No. 33,236

**CERTIFICATE OF MAILING**

I hereby certify the items listed above as enclosed are being deposited with the U.S. Postal Service as either first class mail or Express Mail, if the blank for Express Mail No. is completed below, in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the below indicated date.

Express Mail No. \_\_\_\_\_

Date: 15 June 2004

Signature:   
Name: Kathy Case



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mc

**UNITED STATES PATENT AND TRADEMARK OFFICE**

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND  
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

JUNE 08, 2004

HOLLAND & HART LLP  
L. GRANT FOSTER  
P.O. BOX 8749  
555 - 17TH STREET, SUITE 3200  
DENVER, CO 80201

**RECEIVED**

JUN 14 2004



\*102606326A\*

**Holland & Hart LLP**

UNITED STATES PATENT AND TRADEMARK OFFICE  
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THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 11/17/2003

REEL/FRAME: 014707/0690  
NUMBER OF PAGES: 6

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:  
FORSBERG, ANDREW THOMAS

DOC DATE: 10/03/2003

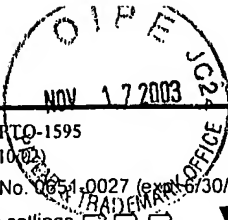
ASSIGNEE:  
ST. JUDE MEDICAL PUERTO RICO B.V.  
DRENTESRAAT 20  
1083 HK AMSTERDAM, NETHERLANDS

SERIAL NUMBER: 10688365  
PATENT NUMBER:  
TITLE: AUTOMATIC SUTURE LOCKING DEVICE

FILING DATE: 10/17/2003  
ISSUE DATE:

014707/0690 PAGE 2

THERESA FREDERICK, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS



11-21-2003

Form PTO-1595  
(Rev. 10-02)

OMB No. 0651-0027 (exp. 6/30/2005)

Tab settings



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U.S. DEPARTMENT OF COMMERCE  
U.S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Andrew Thomas Forsberg

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Execution Date: 3 October 2003

2. Name and address of receiving party(ies)

Name: St. Jude Medical Puerto Rico B.V.

Internal Address: \_\_\_\_\_

Street Address: Drentesraat 20, 1083 HK

Amsterdam, The Netherlands

City: \_\_\_\_\_ State: \_\_\_\_\_ Zip: \_\_\_\_\_

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s) 10/688,365

B. Patent No.(s) \_\_\_\_\_

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: L. Grant Foster

Internal Address: Holland &amp; Hart LLP

P.O. Box 8749

Street Address: 555 - 17th Street

Suite 3200

City: Denver State: CO Zip: 80201

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit account number: \_\_\_\_\_

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9. Signature.

L. Grant Foster

Name of Person Signing

Signature

13 November 2003

Date

Total number of pages including cover sheet, attachments, and documents: 4

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

11/20/2003 ECDPER 00000164 10688365

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

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FILING DATE ..... 17 October 2003  
INVENTOR ..... Andrew Thomas Forsberg  
ASSIGNEE ..... St. Jude Medical Puerto Rico B.V.  
ATTORNEY'S DOCKET NO ..... 47563.0013  
TITLE ..... "Automatic Suture Locking Device"

**PATENT ASSIGNMENT**

**Inventor:**

Andrew Thomas Forsberg  
2917 33<sup>rd</sup> Avenue S  
Minneapolis, MN 55406

**Assignee:**

St. Jude Medical Puerto Rico B.V.  
Drentesraat 20, 1083 HK  
Amsterdam, The Netherlands

**BACKGROUND OF THE ASSIGNMENT**

INVENTOR has conceived a certain new and useful invention disclosed in a United States patent application titled "Automatic Suture Locking Device," filed on 17 October 2003, and assigned Serial No. 10/688,365.

ASSIGNEE desires to acquire the entire right, title and interest in said invention and with respect to any Letters Patent that may be granted with respect to the invention in both the United States and in all foreign countries.

**THE PARTIES AGREE AS FOLLOWS:**

INVENTOR does hereby sell, assign and transfer to St. Jude Medical Puerto Rico B.V. the entire right, title and interest in the above-identified application executed concurrently with this assignment and to any reissues, divisions or continuations thereof, and hereby authorizes the

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Commissioner of Patents and Trademarks to issue such Letters Patent to St. Jude Medical Puerto Rico B.V. for the sole use of St. Jude Medical Puerto Rico B.V., its successors or assigns.

INVENTOR further agrees to execute, at the request and expense of St. Jude Medical Puerto Rico B.V. such other formal documents as may be required to fully convey the interest transferred herein and will similarly execute any application papers required for the filing of any division, continuation or reissue of the patent application or resulting Letters Patent; and will generally do everything necessary or desirable to obtain and enforce proper protection for the invention assigned hereby.

INVENTOR further assigns to St. Jude Medical Puerto Rico B.V. the whole right, title and interest in the invention disclosed in the application throughout all countries foreign to the United States. St. Jude Medical Puerto Rico B.V. is hereby authorized to apply for patents relating to the invention in its own name in countries where such procedure is proper; to claim the benefit of the International Convention; to file and prosecute International Applications relating to the invention under the Patent Cooperation Treaty; and to file and prosecute applications relating to the invention under the European Patent Convention. INVENTOR agrees to execute applications relating to the invention in those countries and under those conventions where it is necessary that the same be executed by the inventor, and to execute assignments of such applications and the resulting Letters Patent to St. Jude Medical Puerto Rico B.V. as well as all other necessary papers in relation to such applications and Letters Patent.

Signed at MINNETONKA on OCTOBER 3, 2003.

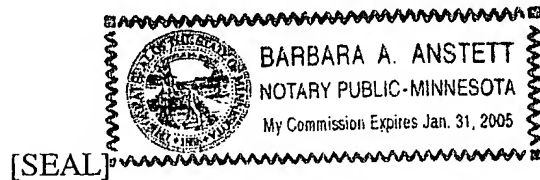
Andrew Thomas Forsberg  
Andrew Thomas Forsberg

STATE OF MINNESOTA )

: ss.

COUNTY OF Hennepin )

On October 3, 2003, before me, a Notary Public, personally appeared Andrew Thomas Forsberg, who is known to me to be the person described in the foregoing assignment, who executed it and acknowledged such execution to be voluntary and for the purposes set forth therein.



Barbara A. Anstett  
NOTARY PUBLIC